

# First-Principles Study of Dielectric Constant and Polarizability in Two Carbon Nanotubes

H. R. Sreepad

P. G. Department of Physics, Government College (Autonomous),  
Mandya, Karnataka, India  
E-Mail: hrsreepad@gmail.com

**Abstract** - First-principles calculations have been carried out on two Carbon Nanotubes having 54 and 72 carbon atoms. The Electronic density of state reveals that the materials show metallic nature. Dielectric constant has been computed in case of Carbon Nanotubes C54 and C72. The value of dielectric constant in Carbon Nanotube C54 comes out to be 7.06, 6.28 and 14.53 along X, Y and Z axes respectively and its average value comes out to be 9.29. Value of dielectric constant in Carbon Nanotube C72 comes out to be 167, 168 and 737 along X, Y and Z axes respectively and its average value comes out to be 357. Polarizability of Carbon Nanotube C54 has been estimated and it comes out to be  $116(\text{\AA})^3$ ,  $111(\text{\AA})^3$  and  $142(\text{\AA})^3$  along X, Y and Z axis respectively. Polarizability in case of Carbon Nanotube C72 comes out to be  $171(\text{\AA})^3$ ,  $171(\text{\AA})^3$  and  $173(\text{\AA})^3$  along X, Y and Z axes respectively.

**Keywords:** Carbon Nanotube, EDOS, First-principles calculations, Dielectric constant, Polarizability

## I. INTRODUCTION

Since their discovery in 1991 [1], Carbon nanotubes have been the subject of intense research. Single Walled Carbon Nanotubes (SWCNTs) have gained particular attention because of the wide range of potential applications from structural materials with extraordinary mechanical properties [2] to the preparation of nanoelectronic components [3]. SWCNTs can help in protecting DNA molecules from damage by oxidation [4]. It has been found that SWCNTs can also act as probe tips for scanning probe microscopy [5]. Carbon nanotubes and their polymer nanocomposites are found to be suitable scaffold materials for bone tissue engineering and bone formation [6 - 9].

Several methods of synthesis of Carbon Nanotubes have been proposed and several structure related properties have been investigated [10 - 14]. There are several other proposed applications of nanotubes which include high-sensitivity microbalances [15], gas detectors [16,17], and hydrogen energy storage devices [18]. Their use in field-emission mode for displays [19,20] and as electrodes for organic light-emitting diodes [21] has substantial future technological potential.

It has been found that any little modification in the structure and composition of a material will bring in sufficient changes in the properties of the material [22, 23]. Thus it is important to study the structure of the materials and look at the parameters which can be altered to get a better material

for technological applications. First-principles calculation based on Density Functional Theory [24] has been proved to be an effective tool in the study of structural, electronic and dielectric properties of organic materials [25, 26]. Carbon nanotubes have attracted the scientific community in various aspects. With this in view, structure of a Carbon Nanotubes C54 and C72 have been simulated using First-principles calculations based on Density Functional Theory and computation of Electronic density of states, Dielectric constant and Polarizability have been done and the results have been reported in the present paper.

## II. COMPUTATIONAL DETAILS

Several codes are available for the theoretical structure simulation [27]. The density functional theory approach has emerged as a well-established computational method. It has been widely employed to arrive at the conformations of a large number of molecular systems. The practical applicability and sophistication of DFT is strongly sensitive to the good choice of exchange–correlation function along with the appropriate basis set.

Quantum espresso is an integrated suite of Open-Source computer codes for electronic-structure calculations and materials modelling. It is based on density-functional theory, plane waves, and pseudopotentials. Author has used plane wave self-consistent field (PWSCF) [28] implementation of density functional theory (DFT), with a Local density approximation (LDA) [29] to exchange correlation energy of electrons and ultrasoft pseudopotentials [30], to represent interaction between ionic cores and valence electrons. Kohn-Sham wave functions were represented with a plane wave basis with an energy cutoff of 30 Ry and charge density cutoff of 180 Ry. Integration over Brillouin zone was sampled with a Monkhorst-Pack scheme [31] with appropriate k point mesh and occupation numbers were smeared using Methfessel-Paxton scheme [32] with broadening of 0.03 Ry. The structure was relaxed to minimize energy.

## III. RESULTS AND DISCUSSION

In the present study, the Tetragonal unit cell with parameters  $a=b=7.49\text{\AA}$  and  $c=12.98\text{\AA}$  containing the Carbon Nanotubes with 54 and 72 carbon atoms were first simulated using

“Avogadro” [33]. Later, atomic positions of the simulated structure have been used in the plane wave self-consistent field calculations. “scf” calculation was done using the final atomic positions obtained after relaxing the structure using the program ‘pw.x’ of Quantum espresso.

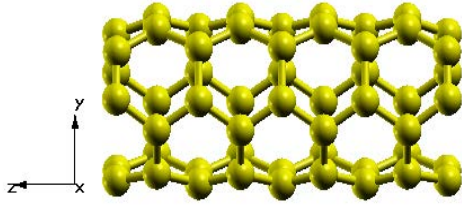


Fig.1 Structure of Carbon Nanotube (C54) as viewed along X-axis

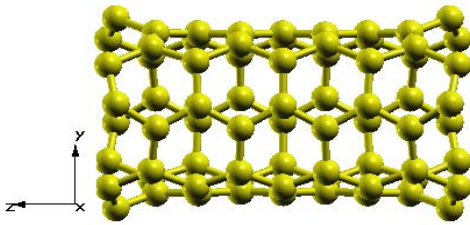


Fig.2 Structure of Carbon Nanotube (C72) as viewed along X-axis

Relaxed structure of the unit cell was visualized using the program “XcrysDen”[34] and the structure of unit cell of Carbon Nanotubes C54 and C72 as seen along X-axis are shown in Figures 1 and 2 respectively.

#### A. EDOS Calculation

Electron Density of States (EDOS) has been computed in Carbon Nanotubes C54 and C72 using Electronic structure calculation code of Quantum espresso. EDOS in Carbon Nanotubes C54 and C72 have been shown in Figures 3 and 4 respectively. As it can be seen from the figures 3 and 4, both the materials show metallic nature without showing any band gap.

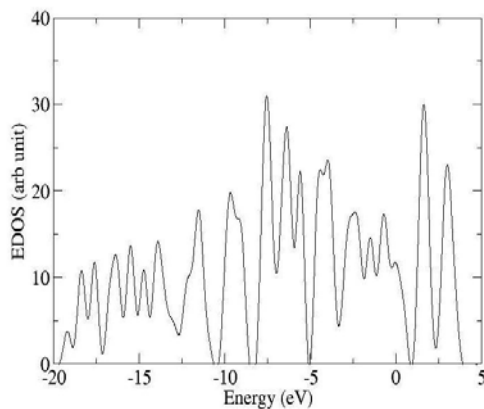


Fig. 3 Electron Density of States in Carbon Nanotube C54

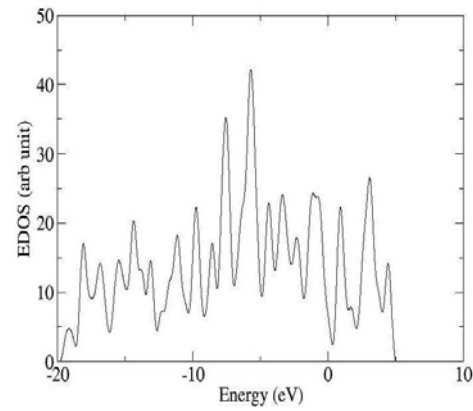


Fig. 4 Electron Density of States in Carbon Nanotube C72

#### B. Dielectric Constant and Polarizability

Dielectric constant has been computed in case of Carbon Nanotube C54 and Carbon Nanotube C72. The value of dielectric constant in Carbon Nanotube C54 is found to be 7.06, 6.28 and 14.53 along X, Y and Z axes respectively and its average value comes out to be 9.29. Value of dielectric constant in Carbon Nanotube C72 comes out to be 167, 168 and 737 along X, Y and Z axes respectively and its average value comes out to be 357. Polarizability of Carbon Nanotube C54 has also been estimated and it comes out to be  $116(\text{\AA})^3$ ,  $111(\text{\AA})^3$  and  $142(\text{\AA})^3$  along X, Y and Z axes respectively. Polarizability in case of Carbon Nanotube C72 comes out to be  $171(\text{\AA})^3$ ,  $171(\text{\AA})^3$  and  $173(\text{\AA})^3$  along X, Y and Z axes respectively. Thus the values of dielectric constant and polarizability are found to increase with increase in number of carbon atoms.

### IV. CONCLUSION

The simulated and optimized structure of Carbon Nanotubes C54 and C72 show that they extend along Z direction. The EDOS calculations show that both the materials show metallic nature without showing any band gap. The value of dielectric constant and polarizability is large in both cases. The values of dielectric constant and polarizability are found to increase with increase in number of carbon atoms.

### V. ACKNOWLEDGMENT

Author thanks the Department of Collegiate Education, Government of Karnataka for permitting to carry out the research work. Also, author acknowledges the necessary facilities provided by the Government College (Autonomous), Mandya (Affiliated to University of Mysore), India.

### REFERENCES

- [1] S. Iijima, *Nature*, Vol. 354, 6348, pp. 56-58, 1991.
- [2] M. F. Yu, O. Lourie, M. J. Dyer, K. Moloni, T. F. Kelly and R.S. Ruoff, “Strength and Breaking Mechanism of Multiwalled Carbon Nanotubes under Tensile Load”, *Science*, Vol. 287, No. 5453, pp. 637–640, 2000.

- [3] R. Martel, V. Derycke, C. Lavoie, J. Appenzeller, K. Chan and J. Tersoff, Ph. Avouris, "Ambipolar Electrical Transport in Semiconducting Single-Wall Carbon Nanotubes", *Phys. Rev. Lett.*, Vol. 87, No. 25, pp. 256805, 2001.
- [4] E. J. Petersen, X. Tu, M. Dizdaroğlu, M. Zheng and B. C. Nelson, "Protective Roles of Single-Wall Carbon Nanotubes in Ultrasonication-Induced DNA Base Damage", *Small* Vol. 9, No. 2, pp. 205, 2013.
- [5] H. Dai, J. H. Hafner, A. G. Rinzler, D.T. Colbert and R. E. Smalley, *Nature*, Vol. 384, pp. 147 – 150, 1996.
- [6] Balaji Sitharaman, Lalwani, Gaurav, Anu Gopalan, Michael D'Agati, Jeyant Srinivas Sankaran, Stefan Judex and Yi-Xian Qin, "Porous Three-Dimensional Carbon Nanotube Scaffolds for Tissue Engineering", *Journal of Biomedical Materials Research Part A*, Vol. 103, pp. 3212–3225, 2015.
- [7] Haddon, C. Robert, Laura P. Zanello, Bin Zhao, Hui Hu, "Bone Cell Proliferation on Carbon Nanotubes", *Nano Letters* Vol. 6, No. 3, pp. 562–567, 2006.
- [8] Shi, Xinfeng, Sitharaman, Balaji, Pham, P. Quynh, Liang, Feng, Wu, Katherine, W. Edward Billups, Wilson, J. Lon, Mikos and G. Antonios, "Fabrication of Porous Ultra-Short Single-Walled Carbon Nanotube/nanocomposite Scaffolds for Bone Tissue Engineering". *Biomaterials*, Vol. 28, No. 28): pp. 4078–4090, 2007.
- [9] Sitharaman, Balaji, Shi, Xinfeng, Walboomers, X. Frank, Liao, Hongbing, Cuijpers, Vincent, Wilson, J. Lon, Mikos, G. Antonios, Jansen and A. John, "In Vivo Biocompatibility of Ultra-Short Single-Walled Carbon Nanotube/Biodegradable Polymer Nanocomposites for Bone Tissue Engineering", *Bone*, Vol. 43, No. 2, pp. 362–370, 2008.
- [10] M. S. Dresselhaus, G. Dresselhaus and P. C. Eklund, "Science of Fullerenes and Carbon Nanotubes", *Academic Press*, San Diego, 1996.
- [11] M. S. Dresselhaus, G. Dresselhaus and P. Avouris, Eds., "Carbon Nanotubes: Synthesis, Structure, Properties, and Applications", Vol. 80, *Springer*, Berlin, 2001.
- [12] R. R. Schlittler, J. W. Seo, J. K. Gimzewski, C. Durkan, M. S. M. Saifullah and M. E. Welland, *Science*, Vol. 292, No. 5519, pp. 1136–1139, 11 May 2001.
- [13] Michael J. O'Connell, Sergei M. Bachilo, Chad B. Huffman, Valerie C. Moore, Michael S. Strano, Erik H. Haroz, Kristy L. Rialon, Peter J. Boul, William H. Noon, Carter Kittrell, Jianpeng Ma, Robert H. Hauge, R. Bruce Weisman, Richard E. Smalley, "Band Gap Fluorescence from Individual Single-Walled Carbon Nanotubes", *Science*, Vol. 297, pp. 593–596, 26 July 2002.
- [14] Sergei M. Bachilo, Michael S. Strano, Carter Kittrell, Robert H. Hauge, Richard E. Smalley and R. Bruce Weisman, "Structure-Assigned Optical Spectra of Single-Walled Carbon Nanotubes", *Science*, Vol. 298, pp. 2361–2366, 20 December 2002.
- [15] Ph. Poncharal, Z. L. Wang, D. Ugarte and W. A. de Heer, *Science*, Vol. 283, No. 5407, pp. 1513–1516, 1999.
- [16] Jing Kong, Nathan R. Franklin, Chongwu Zhou, Michael G. Chapline, Shu Peng, Kyeongjae Cho and Hongjie Dai, *Science*, Vol. 287, No. 5453, pp. 622–625, 2000.
- [17] P. G. Collins, K. Bradley, M. Ishigami and A. Zettl, *Science*, Vol. 287, No. 5459, pp. 1801–1804, 2000.
- [18] A. C. Dillon, K. M. Jones, T. A. Bekkedahl, C. H. Kiang, D. S. Bethune and M. J. Heben, *Nature*, Vol. 386, pp. 377 – 379, 1997.
- [19] Q. H. Wang, A. A. Setlur, J. M. Lauerhaas, J. Y. Dai, E. W. Seelig and R. P. H. Chang, "A Nanotube-based Field-Emission Flat Panel Display", *Applied Physics Letters*, Vol. 72, pp. 2912–2913, 1998, DOI: <http://dx.doi.org/10.1063/1.121493>
- [20] Shoushan Fan, Michael, G. Chapline, Nathan, R. Franklin, Thomas, W. Tombler, Alan, M. Cassell and Hongjie Dai, "Self-Oriented Regular Arrays of Carbon Nanotubes and their Field Emission Properties", *Science*, Vol. 283, No. 5401) pp. 512–514, 1999.
- [21] Cheol Jin Lee, Dae Woon Kim, Tae Jae Lee, Young Chul Choi, Young Soo Park, Won Seok Kim, Young Hee Lee, Won Bong Choi, Nae Sung Lee, Jong Min Kim, Yong Gak Choi and Soo Chang Yu, "Synthesis of Uniformly Distributed Carbon Nanotubes on a Large Area of Si substrates by Thermal Chemical Vapor Deposition", *Appl. Phys. Lett.*, Vol. 75, No. 1721, 1999, [Online]. Available: <http://dx.doi.org/10.1063/1.124837>
- [22] H. R. Sreepad, K. P. S. S. Hembram and U.V. Waghmare, *AIP Conf. Proc.*, Vol. 1349, pp. 871–872, 2011.
- [23] H. R. Sreepad, *Mol. Cryst. Liq. Cryst.*, Vol. 625, No. 1, pp. 195–201, 2016.
- [24] M. C. Payne, M. P. Teter, D. C. Allan, T. A. Arias and J. D. Joannopoulos, *Rev. Mod. Phys.*, Vol. 64, No. 4, pp. 1045–1097, 1992.
- [25] H. R. Sreepad, H. R. Ravi, Khaleel Ahmed and U. V. Waghmare, *AIP Conf. Proc.*, Vol. 1447, No. 1, pp. 793–794, 2013.
- [26] H. R. Sreepad, *Mol. Cryst. Liq. Cryst.*, Vol. 634, No. 1, pp. 91–96, 2016.
- [27] [Online]. Available: [http://en.wikipedia.org/wiki/Molecular\\_modelling](http://en.wikipedia.org/wiki/Molecular_modelling)
- [28] S. Baroni, S.A. Dal Corso, P. DeGironcoli and Gianozzi, [Online]. Available: <http://www.pwscf.org>
- [29] J. P. Perdew and A. Zunger, *Phys. Rev. B*, Vol. 23, pp. 5048–5079, 1981.
- [30] D. Vanderbilt, *Phys. Rev. B*, Vol. 41, pp. 7892–7895, 1990.
- [31] H. J. Monkhorst and J. D. Pack, *Phys. Rev. B*, Vol. 13, pp. 5188–5192, 1976.
- [32] M. A. Methfessel and Paxton, *Phys. Rev. B*, Vol. 40, pp. 3616 – 3621, 1989.
- [33] [Online]. Available: <http://avogadro.openmolecules.net/wiki/>
- [34] A. Kokalj, *Comp. Mater. Sci.*, Vol. 28, pp. 155–168, 2003, [Online]. Available: <http://www.xcrysden.org/>